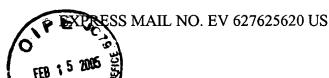
1F1 2631



PATENT APPLICATION Docket No: 15689.74

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re application of |   | )                    |
|----------------------|---|----------------------|
|                      | Kenichi Higuchi et al.  | )                    |
| Serial No.:          | 09/894,661  | ) Art Unit<br>) 2631 |
| Filed:               | June 28, 2001   | )<br>)               |
| For:                 | TRANSMISSION POWER CONTROL METHOD AND MOBILE COMMUNICATION SYSTEM | )<br>)<br>)          |
| Customer No :        | 022913  | )<br>)<br>)          |

## <u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 C.F.R. § 1.97</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record 02/18/2005 JBALINAN 00000116 09894661

02/18/2005 JBALINAN 00000116 09894661

believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which

the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its

entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or

relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(a)(3), the following concise explanation of the

relevance of each listed reference that is not in the English language and unaccompanied by a

translation into English is provided. The concise explanation for Japanese Publication No.: 08-

116306 is provided in the English abstract of that publication. The concise explanation for

Japanese Publication No.: WO97/50197 is provided in the English abstract of that publication.

((Promptness Certification or Submission Fee)

<u>Under 37 C.F.R. § 1.97(c)</u>)

In accordance with 37 C.F.R. § 1.97(c), a PTO 2038 Credit Card form in the amount of

\$180.00 is enclosed to secure consideration of the references submitted with this Information

Disclosure Statement. Please credit any over payment or charge any additional fees to Deposit

Account No. 23-3178 of the undersigned.

DATED February 14, 2005.

Respectfully submitted,

Adrian J. Lee

Attorney for Applicant

Registration No. 42,785

Customer No. 022913

AJL: ds DS0000003132V001

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| TRANSMIT  | CAL OF INFORMA<br>(Under 37 CF) | Docket No.<br>15689.74   |            |              |   |  |  |  |
|---|---------------------------------|--|------------|--------------|---|--|--|--|
| In Re Application Of: Kenichi Higuchi et al.  |                                 |  |            |              |   |  |  |  |
| Application No. Filing Date Example TRANSCORD Customer No. Group Art Unit Confirmati  |                                 |  |            |              |   |  |  |  |
| 09/894,661  | 2634                            | -  |            |              |   |  |  |  |
| Title: TRANSM   | ISSION POWER CON                | TROL METHOD AND MOBIL  | E COMMUNIO | CATION SYSTE | M |  |  |  |
|   |                                 |  |            |              |   |  |  |  |
|   |                                 | Address to: Commissioner for Pater P.O. Box 1450 Alexandria, VA 22313-14 |            |              |   |  |  |  |
|   |                                 | 37 CFR 1.97(b)   |            |              |   |  |  |  |
| 1.   The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. |                                 |  |            |              |   |  |  |  |
| <ul> <li>37 CFR 1.97(c)</li> <li>The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</li> </ul>   |                                 |  |            |              |   |  |  |  |
| □ tł  | e statement specified           | in 37 CFR 1.97(e);   |            |              | ! |  |  |  |
|   |                                 | OR   |            |              |   |  |  |  |
| ⊠ th  | e fee set forth in 37 Cl        | FR 1.17(p).  |            |              |   |  |  |  |
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| TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))  |  |  |   | Docket No.<br>15689.74   |  |  |
|--|--|--|---|--|--|--|
| In Re Application  | n: Kenichi Higuch  | i et al.   |   |  |  |  |
| Application No.  | Filing Date  | Examiner   |   | Customer No.   | Group Art Unit   | Confirmation No.                                     |
| 09/894,661   | 6/28/2001  | -  |   | 022913   | 2634   | -  |
| Title: TRANSMI   | SSION POWER CO   | NTROL METHOD AN  | ND MOBII  | LE COMMUNI   | CATION SYSTE   | CM   |
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|  | cate may only be used unt.  Signature  |  |   | February 15, 20  | of Person Mailing C  | ertificate   |

| CERTIFICATE OI   | Doc                                   | ket No.  |                       |                 |  |  |  |  |
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| 1  | Higuchi et al.                        | , ,  | 150                   | 589.74          |  |  |  |  |
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| Application No.  | Filing Date                           | Examiner   | Customer No.          | Group Art Unit  |  |  |  |  |
| 09/894,661   | 6/28/2001                             | OE VA  | 022913                |                 |  |  |  |  |
| Invention: TRANSMISSION POWER CONTROL METHOD AND MOBILE COMMUNICATION SYSTEM |                                       |  |                       |                 |  |  |  |  |
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|  |                                       | tatement (2 pgs); PTO 1449 form (1 pg<br>ress mailing (EV 627625620 US); postc | • •                   | t card form for |  |  |  |  |
| grouto, ibs referen  | ces (5), certificate of Exp           | ress maning (EV 02/025020 05), poste   | ai u                  |                 |  |  |  |  |
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| is being deposited w   | ith the United States Pos             | stal Service "Express Mail Post Office   | to Addressee" s       | ervice under 37 |  |  |  |  |
| CFR 1.10 in an enve  | elope addressed to: Com               | missioner for Patents, P.O. Box 1450   | , Alexandria, VA      | 22313-1450 on   |  |  |  |  |
| T  | \range \tag{\tau}                     |  |                       |                 |  |  |  |  |
|  | February \5, 2005                     |  | •                     |                 |  |  |  |  |
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|                      |      |      |   | 61                           | FE             | Docket Number (Optional)<br>15689.7 |                       | Application Number<br>09/8 | 394,661            |               |
|----------------------|------|------|---|------------------------------|----------------|-------------------------------------|-----------------------|----------------------------|--------------------|---------------|
|                      | INFO |      | TION DISCLOSURI   | E CITATION                   | -              | Applicant(s)<br>Kenichi Higuchi et  | * ·                   |                            | ,                  |               |
|                      |      | (    | Ose severai sneets ij necessi                                       | ary) ( FEB                   | 5 2005         | Fing Date                           |                       | Group Art Unit             |                    |               |
|                      |      |      |   | E                            | غم             | June 28, 2                          | l l                   | •                          | 634                |               |
|                      |      |      |   | T. C.                        | BADEENT        | DOCUMENTS                           |                       |                            |                    |               |
| *EXAMINER<br>INITIAL | REF  |      | DOCUMENT NUMBER   | DATE                         | •              | NAME                                | CLASS                 | SUBCLASS                   | FILING<br>IF APPRO |               |
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|                      |      |      |   | U.S. PATENT                  | l<br>Γ APPLICA | TION PUBLICATIONS                   | <u> </u>              |                            |                    |               |
| *EXAMINER<br>INITIAL | REF  |      | DOCUMENT NUMBER   | DATE                         |                | NAME                                | CLASS                 | SUBCLASS                   | FILING<br>IF APPRO |               |
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|                      | REF  |      | DOCUMENT NUMBER   | DATE                         |                | COUNTRY                             | CLASS                 | SUBCLASS                   | Trans<br>YES       | slation<br>NO |
|                      | 1    | wo   | 97/50197  | 12/31/1997                   | Japan          |                                     | H04B7                 | 26                         | 1                  |               |
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|                      |      | 3    | Official Notice of Reject Patent Application No. Case No.: DCMH1200 | ction<br>: 2000-197375<br>56 |                |                                     |                       |                            |                    |               |
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|                      |      |      | tation considered, whether  |                              |                | ice with MPEP Section 6             | 09; Draw line thro    | ugh citation if no         | t in conform       | nance and     |